

Account Application

Personal Information (Account(s) available to individuals with a valid Social Security Number only)

APPLICANT

CO-APPLICANT/JOINT

Name: _____

Name: _____

Social Security Number: _____

Social Security Number: _____

Birth Date __/__/____ Married Unmarried Separated

Birth Date __/__/____ Married Unmarried Separated

Citizenship: U.S. Permanent Resident Alien
 Non-Permanent Resident Alien

Citizenship: U.S. Permanent Resident Alien
 Non-Permanent Resident Alien

Birth Place: _____

Birth Place: _____

Country of Citizenship: _____

Country of Citizenship: _____

Home Address (do not use P.O. Box, U.S. address only)

Home Address (do not use P.O. Box, U.S. address only)

Street _____ Apt # _____

Street _____ Apt # _____

City _____ State _____ Zip _____

City _____ State _____ Zip _____

Home Phone: _____

Home Phone: _____

Cell Phone: _____

Cell Phone: _____

Work Phone: _____

Work Phone: _____

E-Mail Address: _____

E-Mail Address: _____

Identification – Two forms required

Identification – Two forms required

Primary Identification

Primary Identification

Driver's License or State Issued ID# _____

Driver's License or State Issued ID# _____

Issue Date __/__/____ Exp. Date __/__/____ State _____
MM DD YYYY MM DD YYYY

Issue Date __/__/____ Exp. Date __/__/____ State _____
MM DD YYYY MM DD YYYY

Secondary Identification (choose one)

Secondary Identification (choose one)

Passport # _____ Exp. Date __/__/____
MM DD YYYY

Passport # _____ Exp. Date __/__/____
MM DDYYYY

Country of Issue _____

Country of Issue _____

Military ID # _____ Exp. Date __/__/____
MM DD YYYY

Military ID # _____ Exp. Date __/__/____
MM DDYYYY

Major Bank/Credit Card American Express Visa
 MasterCard Discover Card Diners Club
Account Number _____ Exp. Date ____/____/____
MM DD YYYY

Major Bank/Credit Card American Express Visa
 MasterCard Discover Card Diners Club
Account Number _____ Exp. Date ____/____/____
MM DD YYYY

For Security Purposes
Mother's Maiden Name _____

For Security Purposes
Mother's Maiden Name _____

Your First School Attended _____

Your First School Attended _____

Employment Information

Employment Status Employed Self-Employed
 Retired Student Homemaker Not Employed

Employment Information

Employment Status Employed Self-Employed
 Retired Student Homemaker Not Employed

Occupation: _____ Start Date ____/____/____
MM YYYY

Occupation: _____ Start Date ____/____/____
MM YYYY

Employer _____

Employer _____

Street _____

Street _____

City _____ State _____ Zip _____

City _____ State _____ Zip _____

Main Phone/Directory Number:() _____

Main Phone/Directory Number:() _____

Investor Profile

Primary source of income?: Investments Compensation Retirement Assets

Estimated total net worth? _____

Estimated liquid net worth? _____

- | | | | |
|------------------------|------------------------|----------------------------|------------------------|
| a. Less than \$25,000 | e. \$150,001-\$200,000 | i. \$350,001-\$600,000 | m. \$5,000,001 or more |
| b. \$25,000-\$50,000 | f. \$200,001-\$250,000 | j. \$600,001-\$1,200,000 | |
| c. \$50,001-\$100,000 | g. \$250,001-\$300,000 | k. \$1,200,001-\$2,000,000 | |
| d. \$100,001-\$150,000 | h. \$300,001-\$350,000 | l. \$2,000,001-\$5,000,000 | |

Investment Objective:

Investment History:

____ Growth _____ Tax Deferral Investing in Stocks Since ____ Investing in Commodities Since ____
____ Current Income _____ Liquidity Investing in Bonds Since ____ Investing in Options Since ____

Do Investments Allow for Speculation? Yes No

Risk Tolerance: Aggressive Moderate Conservative

Account Owner _____

Co-Owner _____

Date _____